

Documents Needed for Financial Planning

The list below is a guide to help you bring together the information needed to properly create a strategic financial plan for you. Please bring as many of these items as possible.

Personal

- Last two years Tax returns
- Any wills and or Trusts
- Bank & Brokerage Statements*
- List of High Valued Assets
- Statement of Social Security earnings
- Life Insurance**
- Health Insurance***
- Disability Insurance**
- Real Estate Holdings
- 529 Plans
- Debts

Business

- Last two years Profit and Loss
- Pension Plan Statements
- Pension Plan Documents
- Amount of cash on hand
- Employee handbook
- Incorporation Documents
- Liability Coverage
- E&O Insurance**
- Other Business benefits
- Employee Census – see form
- Debts

*Only the most recent statement.

**Face Page only.

***Booklet, if you have one.